BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Stipulated Surrender of License and Certificates:

KATHLEEN TRAINOR-YATES, formerly KATHLEEN TRAINOR 479 Kevin Drive Orange Park, Florida 32073

Registered Nurse License No. 278190 Nurse Practitioner Certificate No. 5414 Nurse Practitioner Furnishing Certificate No. 5414 Public Health Nurse Certificate No. 28881

Licensee and Certificates Holder

Case No. 2006-240

STIPULATED SURRENDER OF REGISTERED NURSING LICENSE AND NURSE PRACTITIONER CERTIFICATE AND NURSE PRACTITIONER FURNISHING CERTIFICATE, PURSUANT TO BUSINESS & PROFESSIONS

DECISION AND ORDER

The attached Stipulated Surrender is hereby adopted by the Board of Registered Nursing of the Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on <u>August 7,2008</u>
It is so ORDERED <u>August 7,2008</u>

FOR THE BOARD OF REGISTERED HURSING DEPARTMENT OF CONSUMER AFFAIRS

1	EDMUND G. BROWN JR., Attorney General					
2	of the State of California ARTHUR D. TAGGART,					
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9	BEFORE THE					
10	BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS					
11	STATE OF CALIFORNIA					
12	In the Matter of the Stipulated Surrender of					
13	License and Certificates:	Case No. 2006-240				
14	KATHLEEN TRAINOR-YATES, formerly KATHLEEN TRAINOR	STIPULATED SURRENDER OF				
15	479 Kevin Drive Orange Park, Florida 32073	REGISTERED NURSING LICENSE AND NURSE PRACTITIONER				
16	Registered Nurse License No. 278190	CERTIFICATE AND NURSE PRACTITIONER FURNISHING				
17	Nurse Practitioner Certificate No. 5414 Nurse Practitioner Furnishing Certificate No.5414	CERTIFICATE, PURSUANT TO BUSINESS & PROFESSIONS CODE				
18	Public Health Nurse Certificate No. 28881	SECTION 2751.				
	Licensee and Certificates Holder.					
19						
20	IT IS HEREBY STIPULATED AND	AGREED by and between the parties to				
21	the above-entitled caption that the following matters are true:					
22	1. Ruth Ann Terry, M.P.N., R.N. is the Executive Officer of the Board of					
23	Registered Nursing (hereinafter referred to as the "Executive Officer"). She requests this					
24	voluntary stipulated surrender of Registered Nursing License and Nurse Practitioner Certificate					
25	and Nurse Practitioner Furnishing Certificate solely in her official capacity and is represented by					
26	Edmund G. Brown Jr., Attorney General of the State of California, by Robert Browning Miller,					
27	Deputy Attorney General.					
28	. ///					

- 2. Respondent Kathleen Trainor-Yates, formerly Kathleen Trainor, (hereinafter referred to solely as Kathleen Trainor-Yates) is representing herself in this matter and hereby affirms that she has the right to consult counsel before entering this Stipulation.
- 3. On or about May 31, 1977, the Board of Registered Nursing ("Board") issued Registered Nurse License Number 278190 to Kathleen Trainor-Yates. The license expired on October 31, 2004.
- 4. On or about August 22, 1990, the Board issued Nurse Practitioner Certificate Number 5414 to Kathleen Trainor-Yates. The certificate expired on October 31, 2004.
- 5. On or about May 12, 1993, the Board issued Nurse Practitioner Furnishing Certificate Number 5414 to Kathleen Trainor-Yates. The certificate expired on October 31, 2004.
- 6. On or about August 29, 1979, the Board issued Public Health Nurse Certificate Number 28881 to Kathleen Trainor-Yates. The certificate expired on October 31, 2004.

JURISDICTION

- 7. This Voluntary Stipulated Surrender of Registered Nursing License, Nurse Practitioner Certificate and Nurse Practitioner Furnishing Certificate and Public Health Nurse Certificate entered by the parties pursuant to California Business & Professions Code section 2571 (Acceptance of surrender of license through stipulated surrender). Business & Professions Code section 2571 states:
 - (a) Notwithstanding any other law, the board may, in its discretion, accept the surrender of a license through a stipulated agreement in the absence of a pleading when the ability of a registered nurse to practice nursing safely is impaired due to mental or physical illness.
 - (b) This alternative proceeding shall apply only to cases that would be otherwise have been processed pursuant to Section 820.
 - (c) Until the time that the licensee signs the stipulated agreement for license surrender, he or she may elect to have the disciplinary process conducted pursuant to Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code.

- (d) The stipulated agreement in this alternative proceeding shall specify that:
- (1) The license surrender shall be public information and shall be considered a disciplinary action.
- (2) The licensee may petition the board for reinstatement after a period of not less than one year after the effective date of the decision.
- (3) Any reinstatement proceeding shall be conducted pursuant to Section 2760.1. (entitled Petition for reinstatement or modification of penalty; Notice To Attorney General; Hearing).
- (4) Upon seeking reinstatement, it is the responsibility of the former licensee to submit competent evidence of the ability to safely and competently practice as a registered nurse.
 - 8. Business and Professions Code section 820 states:

Whenever it appears that any person holding a license, certificate or permit under this division or under any initiative act referred to in this division may be unable to practice his or her profession safely because the licentiate's ability to practice is impaired due to mental illness, or physical illness affecting competency, the licensing agency may order the licentiate to be examined by one or more physicians and surgeons or psychologists designated by the agency. The report of the examiners shall be made available to the licentiate and may be received as direct evidence in proceedings conducted pursuant to Section 822.

AGREED UPON FINDINGS

- 9. On or about November 2, 2004, the United States Department of the Navy (hereinafter "the Department"), notified the Board of an adverse privileging action taken by the Department against Lieutenant Commander Trainor-Yates. Pursuant to the information supplied by the Department to the National Practitioner Data Bank, a peer review panel found Kathleen Trainor-Yates medically impaired as evidenced by her diagnosis of major depressive disorder and undifferentiated somatoform disorder. The panel also found that Kathleen Trainor-Yates' memory and fatigue problems severely impaired her ability to continue to practice as a pediatric nurse practitioner. Based on these findings, Kathleen Trainor-Yates' clinical privileges were revoked effective September 30, 2004, and her professional staff appointment was terminated. Kathleen Trainor-Yates left naval service in May 2003.
- 10. On or about January 14, 2005, the Department sent documentation to the Board, evidencing the following:
- 11. On or about September 22, 2002, a medical board was completed on Kathleen Trainor-Yates with an addendum on October 7, 2002. The Physical Examination Board

diagnosed Kathleen Trainor-Yates with, among other things, major depressive disorder, recurrent, severe, without psychotic features, and undifferentiated somatoform disorder (chronic idiopathic fatigue and cognitive complaints without discoverable organic basis). These diagnoses were deemed the most debilitating for Kathleen Trainor-Yates to complete her job.

- 12. As to Kathleen Trainor-Yates' diagnosis of major depressive episode, the following symptoms were found to be present during the same 2 week period and represented a change from previously functioning:
- a. Depressed mood most of the day, nearly every day, as indicated by either subjective report or observation made by others;
- b. Markedly diminished interest or pleasure in all, or almost all, activities most of the day, nearly every day;
 - c. Insomnia or hypersomnia nearly every day;
 - d. Psychomotor agitation or retardation nearly every day;
 - e. Fatigue or loss of energy nearly every day; and
- f. Diminished ability to think or concentrate, or indecisiveness, nearly every day.
- 13. As to Kathleen Trainor-Yates' diagnosis of somatoform disorders, Kathleen Trainor-Yates was found to have experienced symptoms of disabling fatigue, migratory joint pains, right-sided carotidynia, intermittent sore throat and myalgias, non-refreshing sleep, and post-exertional malaise.
- 14. An investigation was conducted by the Department to determine if the provision of patient care rendered by Kathleen Trainor-Yates after August 10, 2001, was within the standard of care. The findings of a random review of 196 records pulled from August 10, 2001, to September 21, 2002, revealed no deleterious outcomes; however, many charting deficiencies were noted.
- 15. Various military officers who either supervised and/or worked with Kathleen Trainor-Yates made various statements during the Department's investigation.

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- 16. In reviewing the interviews and finding of the case, the medical and psychiatric evaluations opinions were that Kathleen Trainor-Yates was unable to perform her military duties as a pediatric nurse practitioner. Further psychiatric consensus was that Kathleen Trainor-Yates will need continued psychiatric care to determine her capacity to function as a medical professional in the future.
- 17. Kathleen Trainor-Yates' charting had three main areas of concern. The first concern was the extreme brevity of subjective and objective data documentation of the patient's visit. This was felt to be the result of either the lack of provider inquiry or provider examination from Kathleen Trainor-Yates during the patient visit. Additionally, Kathleen Trainor-Yates consistently lacked documentation in the plan. The second concern was the frequent over-dosing of medications, mainly antibiotics, but also inclusive of Prednisone and antihistamines. The third concern was the lack of teaching upon discharge for supportive care, signs and symptoms to monitor and specifics to return to the clinic/emergency room.
- 18. On or about June 16, 2003, the Department summarily suspended Kathleen Trainor-Yates' clinical privileges at Naval Hospital, Jacksonville, on the grounds that Kathleen Trainor-Yates was medically discharged from the Navy, that medical and psychiatric evaluations concluded Kathleen Trainor-Yates was unable to perform her duties as a pediatric nurse practitioner, and that Kathleen Trainor-Yates admitted she lacked comfort seeing patients due to her medical condition.
- 19. On or about September 29, 2003, a peer review panel hearing was held by the Department. The peer review panel made the following findings:
- a. Kathleen Trainor-Yates has a diagnosis of major depressive disorder that is recurrent and severe as documented in her Medical Board dated September 25, 2002.
- b. Kathleen Trainor-Yates also has a diagnosis of undifferentiated somatoform disorder (chronic idiopathic fatigue and cognitive complaints) which limited her ability to work full time and resulted in no patient contact since September 2002, as documented in her Medical Board.
 - Kathleen Trainor-Yates' rebuttal of her Medical Board dated November

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25, 2002, states she has memory and fatigue problems that severely impair her ability to practice in the military *or civilian sector* (emphasis added) as a pediatric nurse practitioner.

- d. A review of 196 medical charts showed significant trends of missing and inappropriately brief documentation, treatment of asthma outside the standard of care, and inappropriate medication dosages.
- e. Kathleen Trainor-Yates had taken the PALS test and failed. Kathleen Trainor-Yates refused remedial help, stating in her interview of May 12, 2003, that she was too stressed to think and could not handle the new guidelines.
- 20. The peer review panel concluded that Kathleen Trainor-Yates was medically impaired and were unanimous in their recommendation to revoke Kathleen Trainor-Yates' clinical privileges as a pediatric nurse practitioner. Consideration was given to possible suspension of Kathleen Trainor-Yates' privileges; however, Kathleen Trainor-Yates had shown increasing impairment and documentation difficulties despite 100% chart review, preceptorship, and medical treatment and it did not seem likely that Kathleen Trainor-Yates' skills would improve enough for her to regain her clinical competence in the near future.

ADVISEMENT AND WAIVERS

- 21. Kathleen Trainor-Yates affirms she has carefully read, and understands the Agreed Upon Findings. Kathleen Trainor-Yates has also carefully read, and understands the effects of this Stipulated Surrender.
- 22. Kathleen Trainor-Yates is fully aware of her legal rights in this matter, including the right to a hearing on the Agreed Upon Findings, stated above, as would be set forth as allegations in an Accusation; the right to be represented by counsel at her own expense; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

- 23. Kathleen Trainor-Yates voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.
- 24. Kathleen Trainor-Yates understands and admits the Agreed Upon Findings, stated above, if proven at a Hearing, constitute cause for imposing discipline on her Registered Nursing License and Nurse Practitioner Certificate and Nurse Practitioner Furnishing Certificate and Public Health Nurse Certificate.
- 25. For the purpose of resolving this matter without the expense and uncertainty of further proceedings, Kathleen Trainor-Yates **agrees** that, at a hearing, the Executive Officer could establish a factual basis for the Agreed Upon Findings, stated above, and that Kathleen Trainor-Yates hereby freely gives up her right to contest those charges.
- 26. Kathleen Trainor-Yates agrees that her Registered Nursing License and Nurse Practitioner Certificate and Nurse Practitioner Furnishing Certificate and Public Health Nurse Certificate are voluntarily surrendered, as set forth in the Stipulated Surrender below.

CIRCUMSTANCES IN MITIGATION

27. Kathleen Trainor-Yates acknowledges her responsibility for the circumstances and actions as described in the Agreed Upon Findings, stated above, and elects to voluntarily surrender her Registered Nursing License and Nurse Practitioner Certificate and Nurse Practitioner Furnishing Certificate and Public Health Nurse Certificate without the necessity of any disciplinary action being instituted against her. Further, Kathleen Trainor-Yates does so voluntarily and willingly agrees to surrender her Registered Nursing License Number 278190 and her Nurse Practitioner Certificate Number 5414 and her Nurse Practitioner Furnishing Certificate Number 5414 and Public Health Nurse Certificate No. 28881.

BUSINESS & PROFESSIONS CODE SECTION 2751

- 28. Kathleen Trainor-Yates acknowledges the provisions of Business & Professions Code section 2751, and that this Stipulated Surrender includes the following:
 - (a) The license surrender shall be public information and shall be considered a disciplinary action.
 - (b) The licensee may petition the board for reinstatement after a period of not less than

 one year after the effective date of the decision.

- (c) Any reinstatement proceeding shall be conducted pursuant to Section 2760.1. (Petition for reinstatement or modification of penalty; Notice To Attorney General; Hearing).
- (d) Upon seeking reinstatement, it is the responsibility of the former licensee to submit competent evidence of the ability to safely and competently practice as a registered nurse.

RESERVATION

29. The admissions made by Kathleen Trainor-Yates herein are only for the purposes of this proceeding, or any other proceedings in which the Board of Registered Nursing or other professional licensing agency are involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

- Nursing. Kathleen Trainor-Yates understands and agrees that the Board's staff and counsel for the Executive Officer may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Kathleen Trainor-Yates. By signing the stipulation, Kathleen Trainor-Yates understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulated surrender as its Decision and Order, the Stipulated Surrender shall be of no force or effect, except for this paragraph, and shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 31. The parties understand and agree that facsimile copies of this Stipulated Surrender, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 32. In consideration of the foregoing admissions and stipulations, the parties agree that the Board of Registered Nursing may, without further notice or formal proceeding, issue and enter the following Stipulated Surrender:

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STIPULATED SURRENDER

IT IS HEREBY STIPULATED that Registered Nurse License No. 278190 and Nurse Practitioner Certificate No. 5414 and Nurse Practitioner Furnishing Certificate No. 5414 and Public Health Nurse Certificate No. 28881 issued to Kathleen Trainor-Yates, formerly known as Kathleen Trainor, are surrendered and accepted by the Board of Registered Nursing.

- 33. Kathleen Trainor-Yates shall lose all rights and privileges as a registered nurse and nurse practitioner and nurse practitioner furnishing and public health nurse in the State of California as of the effective date of the Board's Decision and Order.
- 34. Kathleen Trainor-Yates Respondent shall cause to be delivered to the Board both her wall and pocket certificates of licensure as a registered nurse and nurse practitioner certificate and nurse practitioner furnishing certificate nurse and public health nurse certificate on or before the effective date of the Decision and Order.
- 35. Kathleen Trainor-Yates fully understands and agrees that if she ever files an application for licensure or a petition for reinstatement in the State of California, the Board shall treat such as a petition for reinstatement. If Kathleen Trainor-Yates ever files an application for licensure or seeks reinstatement, she must comply with all the laws, regulations and procedures for reinstatement of a license surrender pursuant to Business & Professions Code sections 2751 and 2760.1 in effect at the time the petition is filed. Further, the Agreed Upon Findings, stated above, will be deemed to be true, correct and admitted by Kathleen Trainor-Yates when the Board determines whether to grant or deny the petition; and the statements in mitigation, contained in this Stipulation shall be deemed to be true and admitted by Kathleen Trainor-Yates when the Board determines whether to grant or deny the petition.
- 36. Kathleen Trainor-Yates Respondent shall not apply for reinstatement for a period of not less than one (1) year from the effective date of this Stipulated Surrender and Order of the Board.

ACCEPTANCE

I have carefully read the Stipulated Surrender of Registered Nursing License and Nurse Practitioner Certificate and Nurse Practitioner Furnishing Certificate and Public Health Nurse Certificate. I understand the stipulation surrendering my registered nursing license and nurse practitioner certificate and nurse practitioner furnishing certificate and public health nurse certificate, and the effect it will have on any future application for licensure or petition for reinstatement. I enter into this Stipulated Surrender voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Registered Nursing.

DATED:	5/15/08
	Authlin Juier Jates
	KATHLEEN TRAINOR-YATES, formerly known as KATHLEEN TRAINOR

ENDORSEMENT

The foregoing Stipulated Surrender is hereby respectfully submitted for consideration by the Board of Registered Nursing of the Department of Consumer Affairs.

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